

03-19-01

A

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

09792909-4809

First Named Inventor or Application Identifier

Atsuo Omaru

Express Mail Label No: EL281361308US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

J1046 U.S. PTO
09/810962

03/16/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 612. ☒ Drawing(s) (35USC 113) Total Pages 43. ☒ Declaration and Power of Attorney Total Pages 2a. ☒ Unexecuted(original or copy)b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which

copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)6. ☒ Letter under 37 CFR 1.41(c).7. ☐ English Translation Document (if applicable)8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations9. ☐ Preliminary Amendment10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired12. ☒ Certified copy of Japanese priority document No. P2000-073453 1 filed March 16, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS	46	26	\$18.00	468.00
INDEPENDENT CLAIMS	8	5	80.00	400.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$1,578.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,578.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: March 16, 2001

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 09792909-4809			
		First Named Inventor or Application Identifier			
		Atsuo Omaru			
		Express Mail Label No: EL281361308US			
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231					
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ACCOMPANYING APPLICATION PARTS			
1. <input checked="" type="checkbox"/> Specification Total Pages <u>61</u> 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) Total Pages <u>4</u> 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>2</u> a. <input checked="" type="checkbox"/> Unexecuted(original or copy) b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) (for continuation/divisional with Box 14 completed) [Note Box 4 Below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). 7. <input type="checkbox"/> English Translation Document (if applicable) 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input type="checkbox"/> Preliminary Amendment 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Status still proper and desired 12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-073453 1 filed March 16, 2000			
14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <input type="checkbox"/> of prior application No:					
CLAIMS AS FILED					
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
	TOTAL CLAIMS	46	26	\$18.00	468.00
	INDEPENDENT CLAIMS	8	5	80.00	400.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
				TOTAL FEE	\$1,578.00
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,578.00</u> to cover the filing fee is enclosed.					

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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 Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: March 16, 2001

EXPRESS MAIL #EL281361308US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL281361308US

Date of Deposit: March 16, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Atsuo Omaru and Yusuke Fujishide

Attorney Docket No. 09792909-4809

\$1,578.00 filing fee (46 claims - 8 independent)

Drawings (4 sheets - Figs 1-4)

Unexecuted Declaration

Letter Under Rule 37

Certified copy of Japanese priority document No. P2000-073453

Return postcard



Signature of Person Mailing Application and Fees

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Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.

March 16, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND
METHOD OF PREPARING CARBON-BASED MATERIAL FOR
NEGATIVE ELECTRODE"
Applicant(s) Atsuo Omaru et al.
Attorney Docket No. 09792909-4809

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 46 claims (8 independent), 4 sheets of drawings (Figs. 1-4) and the filing fee of
\$1,578.00 on behalf of

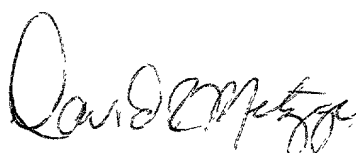
ATSUO OMARU and YUSUKE FUJISHIGE

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:


David R. Metzger

Enclosures